

Financial Services Guide - Part B

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Modoras Wealth Management (SYD) Pty Ltd

ABN 82 622 475 478 and is a corporate authorised representative (No. 1268659) of Modoras Pty Ltd ABN 86 068 034 908 Australian Financial Services and Credit License No. 233209.

Alberto Diez

This document should be read together with Part A of the Modoras Pty Ltd Financial Services and Credit Guide (FSCG). Modoras Pty Ltd has authorised the distribution of this FSG.



Your planner: Alberto Diez

Your planner, Alberto Diez, is authorised by Modoras Wealth Management (SYD) Pty Ltd (MWM (SYD)) to provide financial planning advice on MWM (SYD)'s behalf.

Alberto's individual authorised representative number is 337321.

Qualifications, memberships, and experience

Alberto's qualifications, memberships and experience are as follows:

- Bachelor of International Business
- Self-Managed Superannuation Funds
- Advanced Diploma of Financial Services
Financial Planning
- government debentures, stocks or bonds
- Ethics and Professionalism in Financial Advice
- Over 18 years of experience in financial
planning services
- Margin Lending and Geared Investments

Financial product advice offered by Alberto

Alberto is authorised by MWM (SYD) to deal in the following products:

- deposits and payments
- retirement savings accounts
- personal insurance and life investments
- securities (e.g. shares)
- superannuation (all)
- margin lending
- managed investments
(e.g. investor-directed portfolio services)
- government debentures, stocks or bonds
- leveraged investments

Additionally, Alberto is registered to provide Tax (Financial) Advice services. Based on the information collected from you, Alberto will consider the tax consequences of the financial advice he provides. However, this financial advice will not include a full assessment of your overall tax position or your tax liabilities and obligations. You should seek specialist tax advice from your accountant.

Specialist advice areas

Alberto's specialist advice areas include:

- self-managed super funds
- direct equities
- leveraged investments



If Alberto identifies any other specialist areas of advice or products he believes you need but which he's not authorised to provide or recommend, he'll advise you to consult someone who is authorised.

For example, Alberto is not a credit representative of Modoras Pty Ltd, so he cannot provide you with credit assistance. However, if you need credit assistance, he can refer you to one of Modoras's credit representatives.

Who is Alberto associated with?

Alberto is an authorised representative of MWM (SYD) (a corporate authorised representative (CAR) of Modoras Pty Ltd).

How is Alberto remunerated?

Alberto is an employee of MWM (SYD) and receives a salary. Alberto may also receive a bonus based on a balanced scorecard arrangement.

His performance and that of MWM (SYD) is not based on or linked to dealings with any specific financial product or class of financial products.

MWM (SYD) is entitled to receive 80% of the net total initial and ongoing fees or commissions payable to the licensee Modoras Pty Ltd, for financial planning business written or advice provided by Alberto.

Details of any fees or commissions payable will be disclosed in the:

- statement of advice or record of advice (if you're getting financial planning advice).
- credit quote (if you're getting credit advice)

How to contact Alberto

Alberto can be contacted in the following ways:

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